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Shear-wave splitting and mantle anisotropy in the Alpine Area

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and the AlpArray working groups



INSTITUTE OF GEOPHYSICS
OF THE CZECH ACADEMY OF SCIENCES

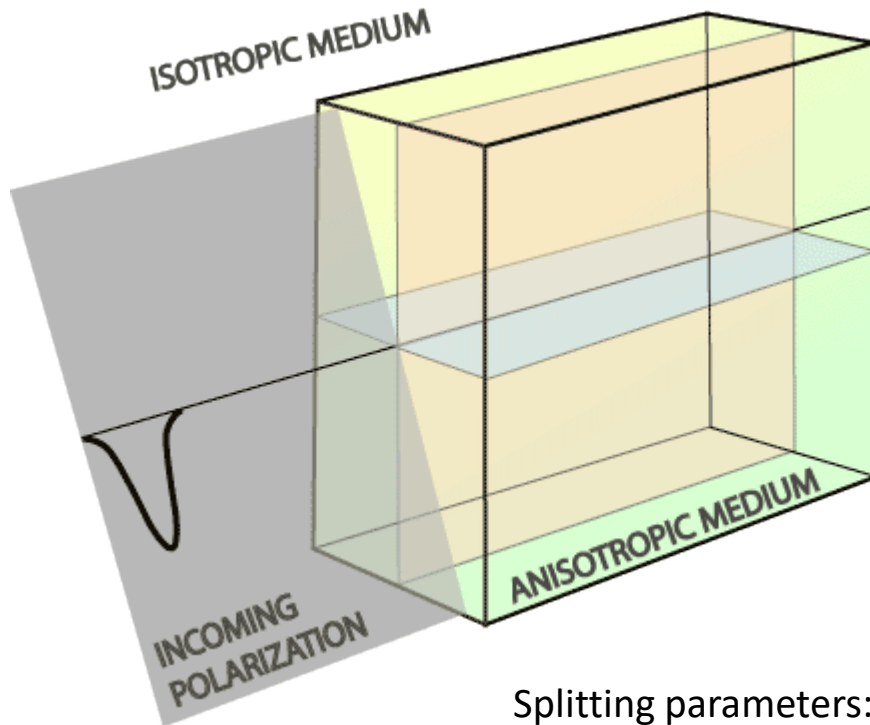
Prague, Dec 4, 2019



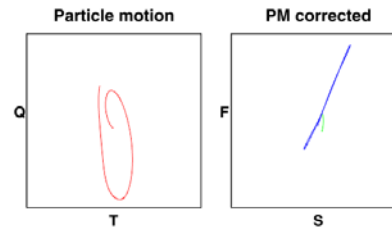
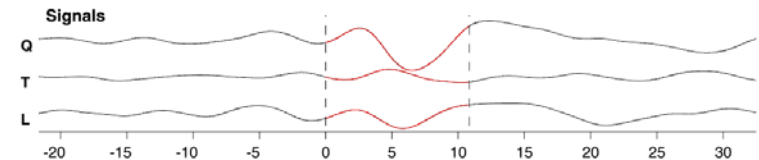
Overview

- Automatic XKS waveform selector
- Pitfalls of splitting methods
 - Noise
 - Orientation of horizontal components
- Sensor misorientation
- Dynamic back-azimuth
- Splitting evaluation
- Particle motion
- Conclusions

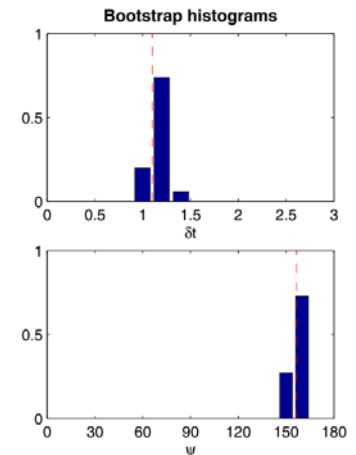
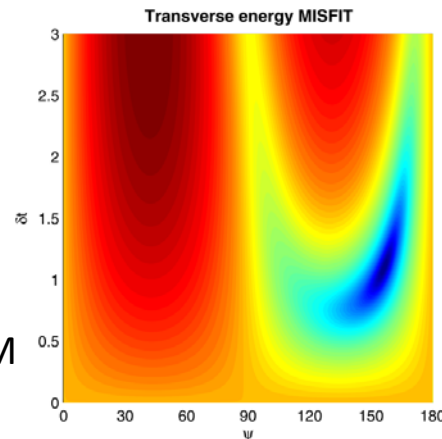
Shear-wave splitting in an anisotropy medium



Shear wave splits into two polarized shear waves



AAE02 **SKSac**
FAST POLAR. $\theta = 83^\circ, \phi = 274^\circ$
DELAY TIME $\delta t = 1.1$ s
QUALITY fair



- Splitting parameters:
- delay time
 - polarization direction of the fast split wave

Eigenvalue method – for shear waves with a linear PM
Transverse energy method – for XKS waves

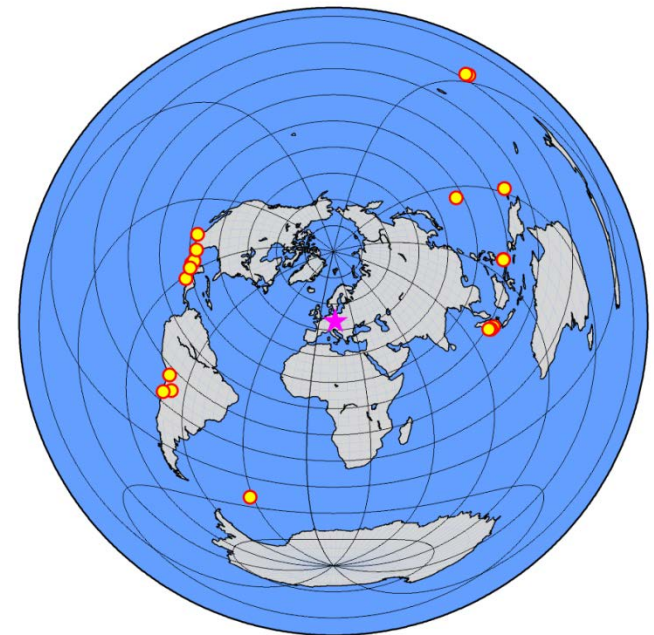
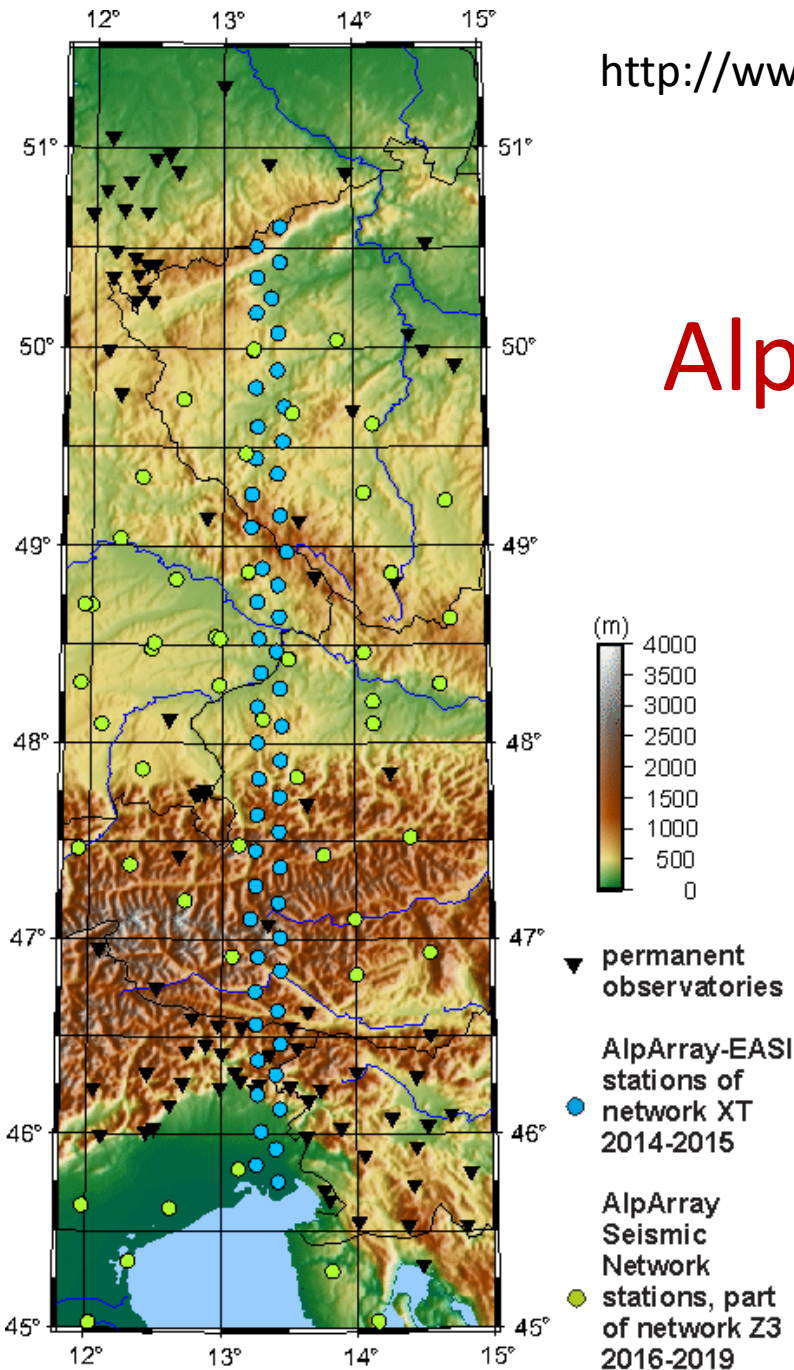
<http://www.alparray.ethz.ch>



AlpArray-EASI dataset

High number of stations (~200)

Lower number of good quality SKS events



Automated XKS waveform selector

Keywords: ObsPy/Python, GCMT, IRIS-Syngine, EIDA

Main tasks:

Preprocess signal: demean, resample, response and sensor orientation corrections according to station metadata

Find and select XKS waves:

- with high SNRs
- not influenced by nearby seismic phases
- correctly filtered (Butterworth bandpass filter there and back)
- with their particle motions of elliptical or linear shape, without edges and/or rapid changes

Automated script for selection of XKS waves.

INPUT:

GCMT catalog - list of teleseismic events, their locations and moment tensors

EIDA - signal data and metadata

IRIS-Syngine - synthetic seismograms (used model: prem_a_2s)

OUTPUT:

Selections of XKS waves

PROCEDURE STEPS:

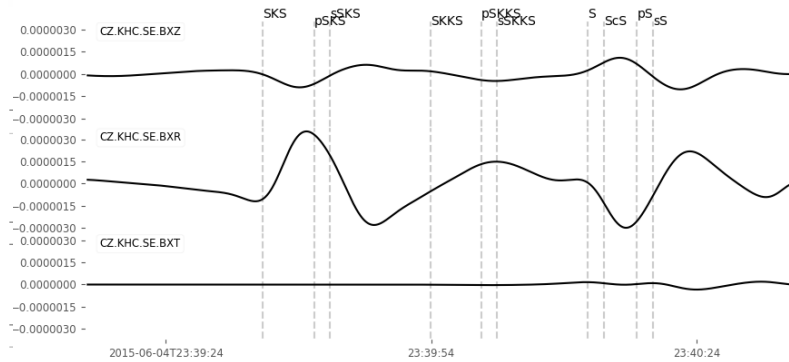
Synthetic signal #1: theoretical arrivals and amplitudes, Gaussian-like source time function; included effects: source radiation, reflection or transmission on interfaces, geometrical ray spreading, attenuation

Synthetic signal #2: 3 component signal for a given moment tensor and velocity model (we use anelastic anisotropic PREM and resolution 2-100 s)

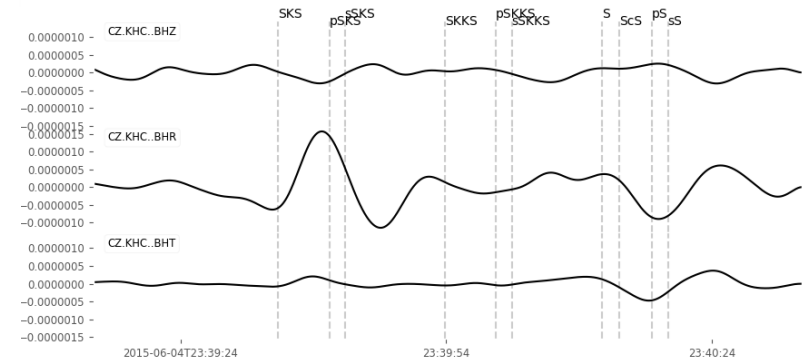
Measured signal: demean, resample, response and sensor orientation corrections according to station metadata

Automated XKS waveform selector

Synthetic signal (BP filter 7-30 s)

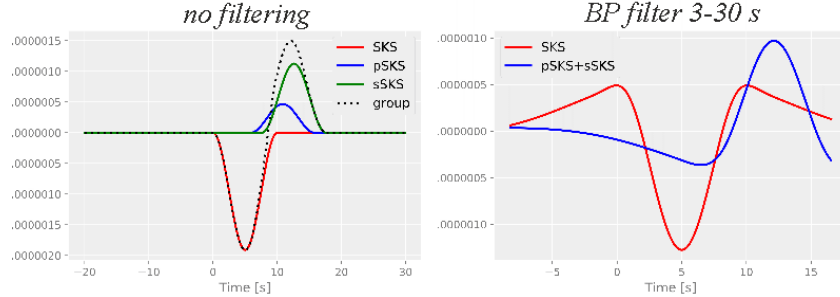


Measured signal (BP filter 7-30 s)

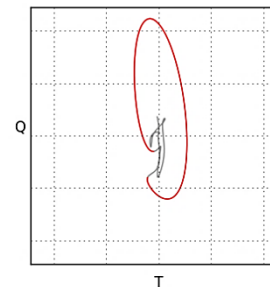
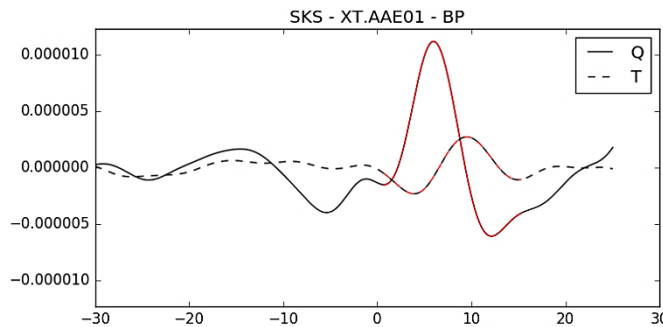
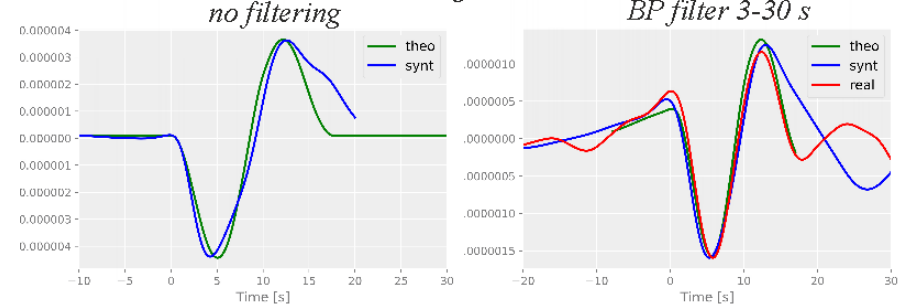


2015-06-04T23:15:43.90 lat: 5.99° long: 116.54° depth: 10.0 km magn: 6.0 BORNEO moment tensor: [-6.40e+24, -7.60e+23, 7.16e+24, 7.68e+24, 5.91e+24, 2.74e+24] dyne cm

Theoretical waves

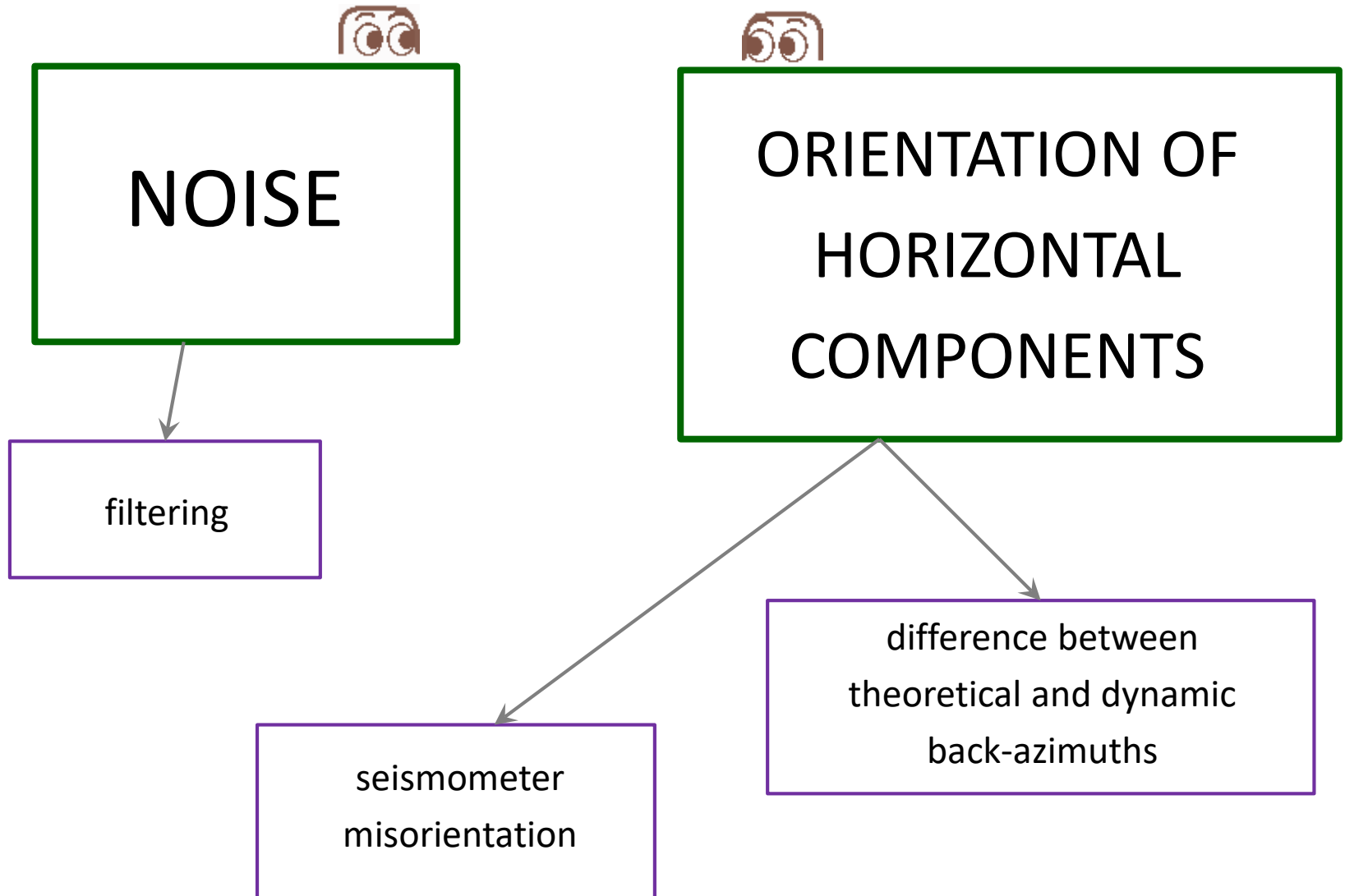


Fitting the waves



Automated selection of SKS wave

Pitfalls of splitting methods (eigenvalue, transverse)



Effects of seismic noise on splitting

Synthetic test:

SKS wave

$T = 8$ s

incidence angle 8°

backazimuths 0° - 360°

Anisotropic layer

thickness 100 km

S anisotropy 4.8 %

hexagonal anisotropy with horizontal ($\theta=90^\circ$) FAST

symmetry axis at azimuth $\phi=90^\circ$

Splitting champion fighters

eigenvalue method

transverse energy method

Quality conditions (by Wuestefeld & Bokelmann, 2007)

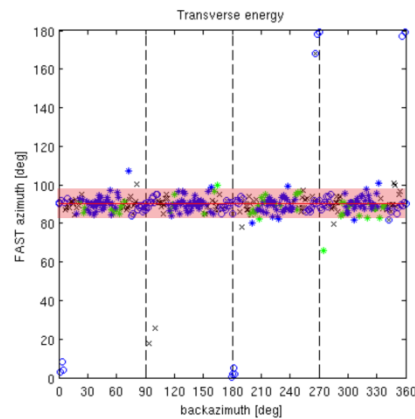
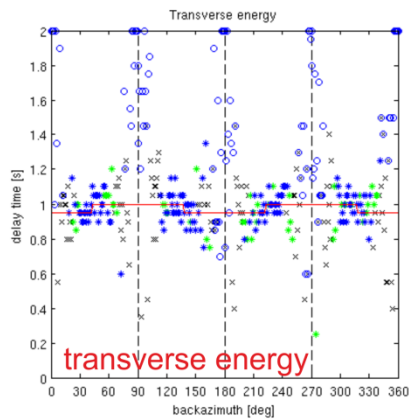
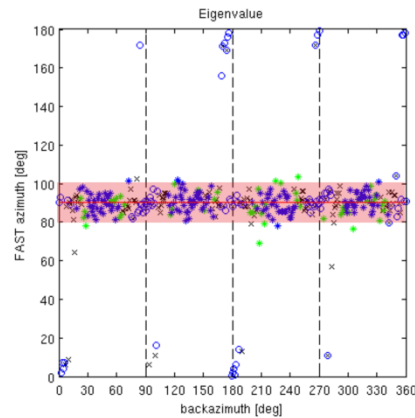
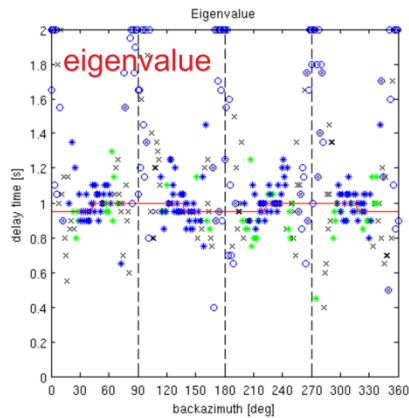
* good splitting

o good null

* fair splitting

o near null

x poor splitting



noise in signal
SNR = 10

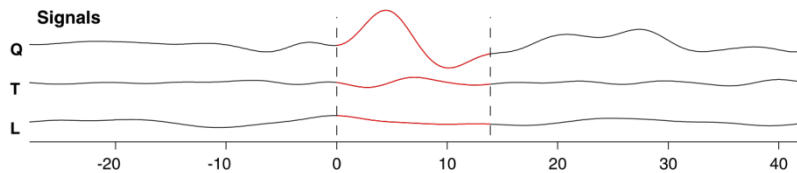
eigenvalue method
transverse energy

x

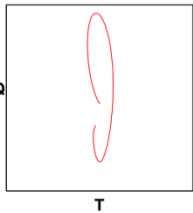
✓

Stability of eigenvalue and transverse energy methods

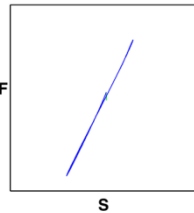
Eigenvalue method



Particle motion



PM corrected



AAE27

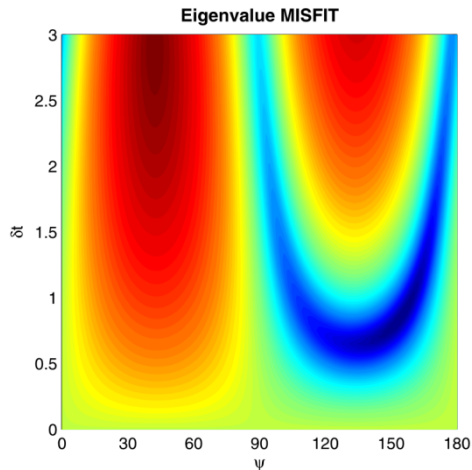
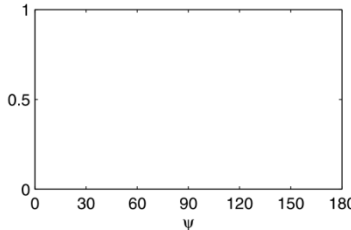
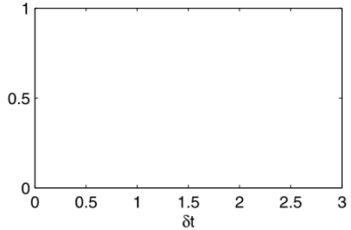
SKS

FAST POLAR. $\theta = 83^\circ, \phi = 277^\circ$

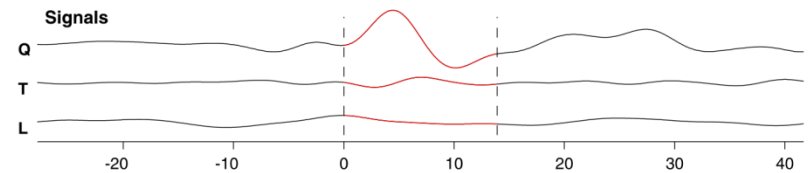
DELAY TIME $\delta t = 0.9$ s

QUALITY n/a

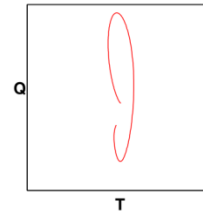
Bootstrap histograms



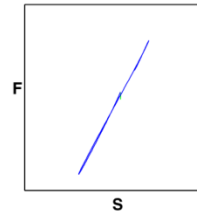
Transverse energy method



Particle motion



PM corrected



AAE27

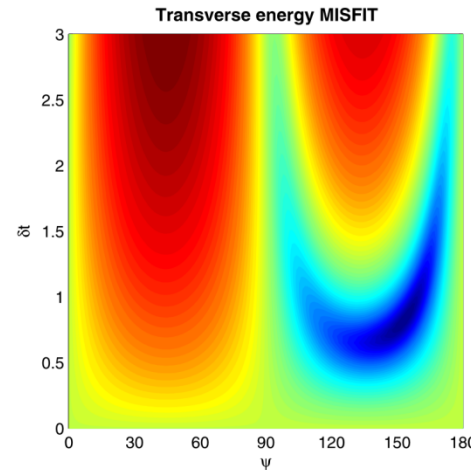
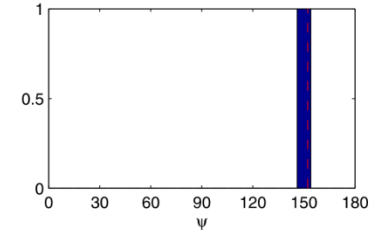
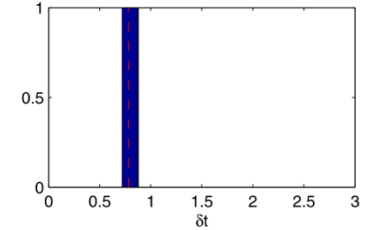
SKS

FAST POLAR. $\theta = 83^\circ, \phi = 279^\circ$

DELAY TIME $\delta t = 0.8$ s

QUALITY fair

Bootstrap histograms



Effects of sensor mis-orientation on splitting

Synthetic test:

SKS wave

$T = 8$ s

incidence angle 8°

backazimuths 0° - 360°

Anisotropic layer

thickness 100 km

S anisotropy 4.8 %

hexagonal anisotropy with horizontal ($\theta=90^\circ$) FAST

symmetry axis at azimuth $\phi=90^\circ$

Splitting champion fighters

eigenvalue method

transverse energy method

Quality conditions (by Wuestefeld & Bokelmann, 2007)

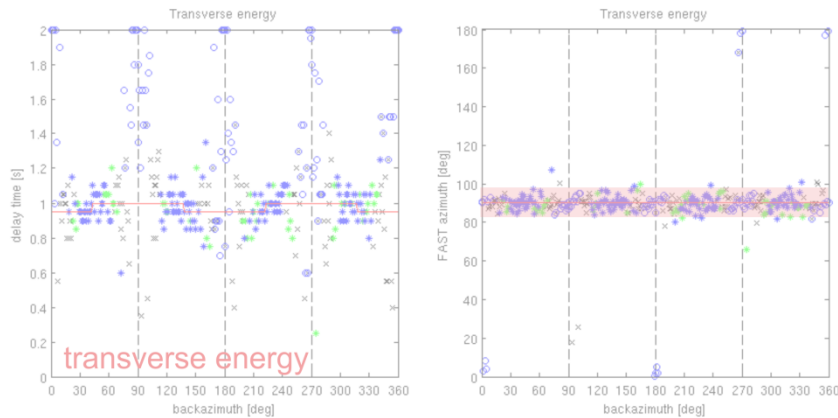
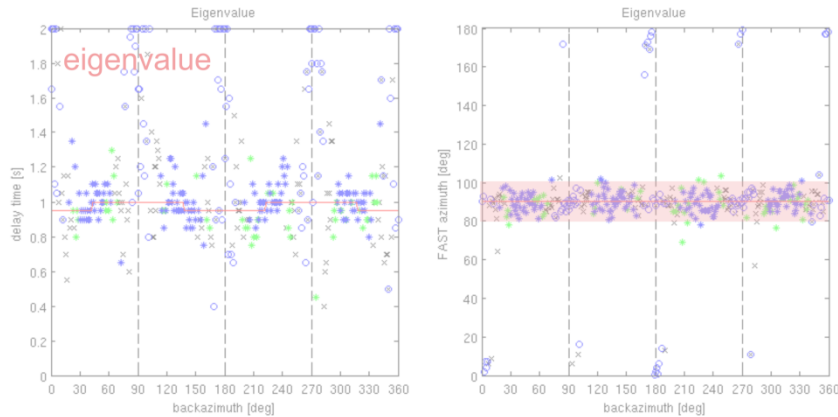
* good splitting

* fair splitting

x poor splitting

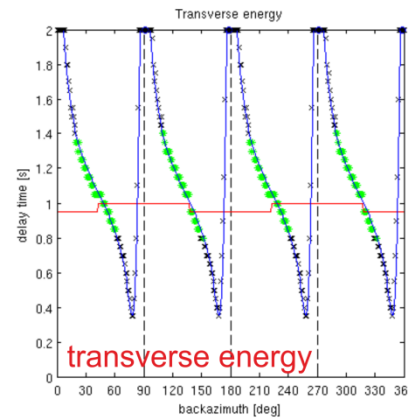
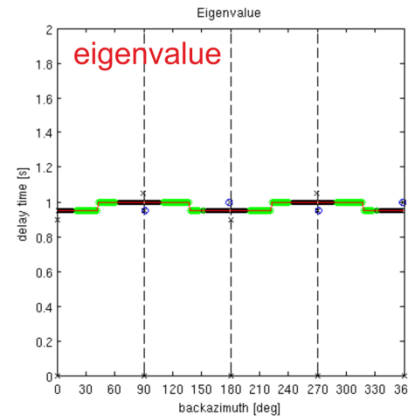
o good null

o near null



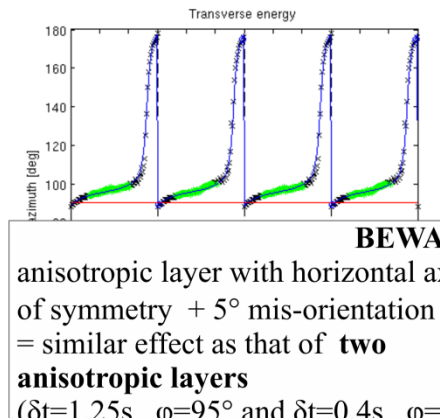
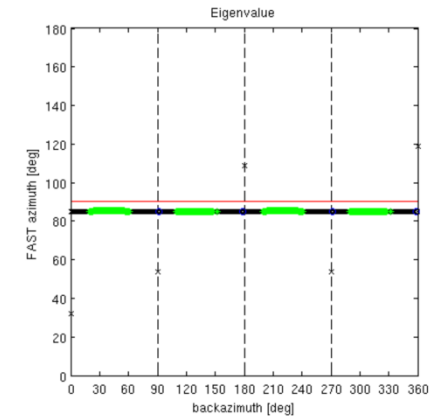
noise in signal
SNR = 10

eigenvalue method ✗
transverse energy ✓



misorientation 5°

eigenvalue method ✓
transverse energy ✗



BEWARE!

anisotropic layer with horizontal axis
of symmetry + 5° mis-orientation
= similar effect as that of **two**
anisotropic layers
($\delta t = 1.25$ s $\phi = 95^\circ$ and $\delta t = 0.4$ s $\phi = 28^\circ$)
see blue lines

Sensor mis-orientation

How to measure:

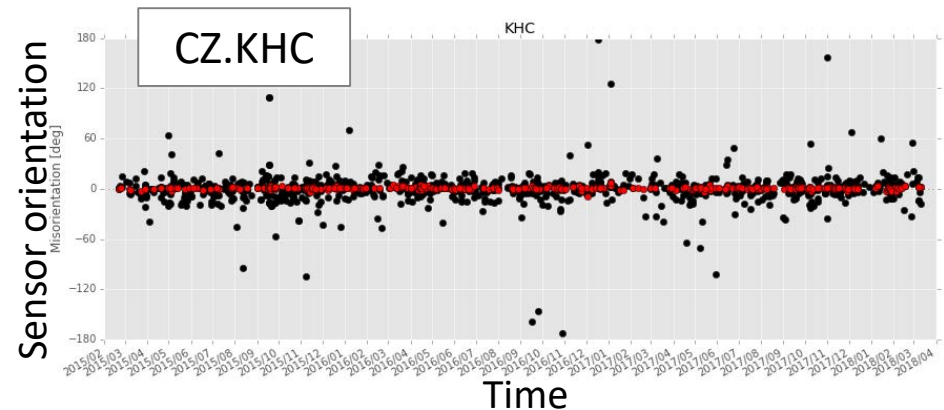
Gyrocompass: very accurate, not very frequent usage (if ever)

Polarization of Rayleigh waves: not so accurate, better detection of temporal changes (see black dots)

-> **Improved Rayleigh wave method :** corrected for dynamic back-azimuths, best precision 1-2° (see red dots)

How to correct:

Change values in station metadata (easy!)

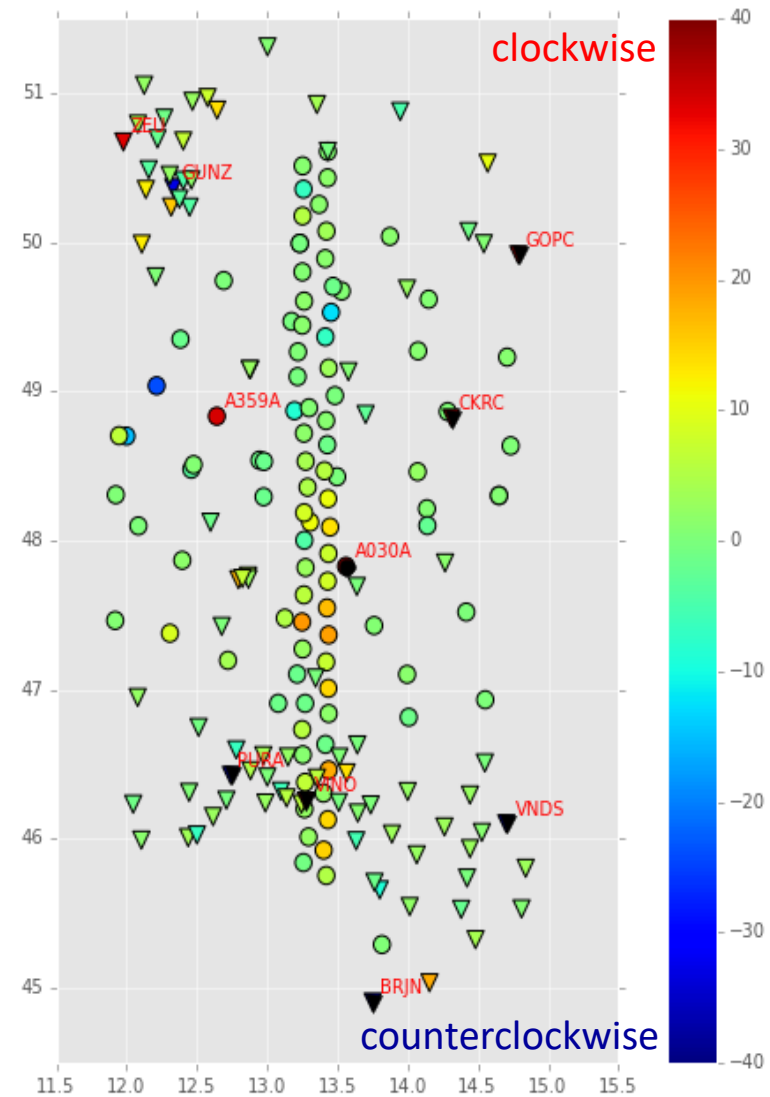
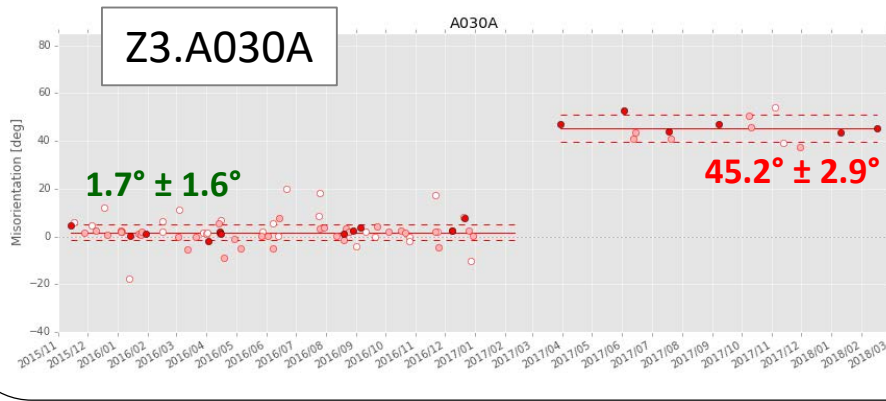
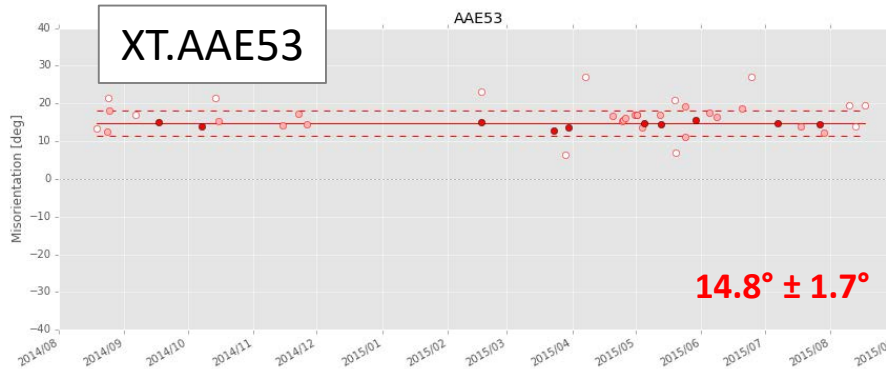
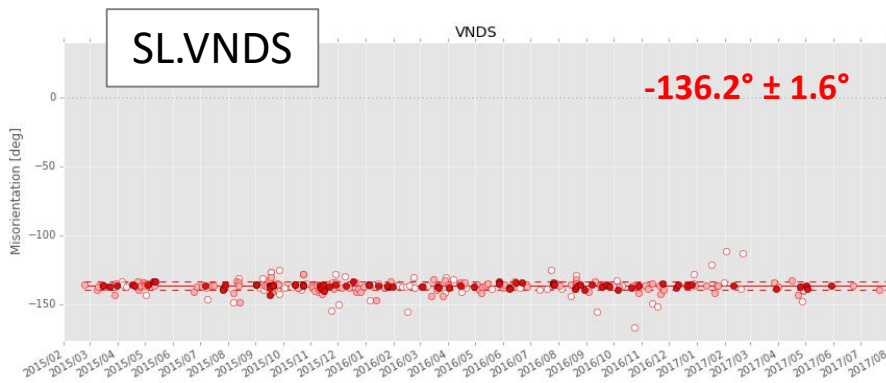


Comparison of sensor orientations re-measured by a gyrocompass and computed by the RW polarization method.

	Gyrocompass	RW polarization
AAE27	8.1°	10.7° ± 3.3°
AAE28	7.5°	8.5° ± 2.1°
AAE29	13.2°	13.7° ± 1.3°
AAE30	-3.6°	-4.0° ± 2.2° (+89°)
AAE31	6.5°	7.7° ± 2.5°
AAE32	-0.3°	3.2° ± 2.4°
AAE33	8.1°	8.4° ± 1.4°
AAE34	5.4°	6.0° ± 1.5°

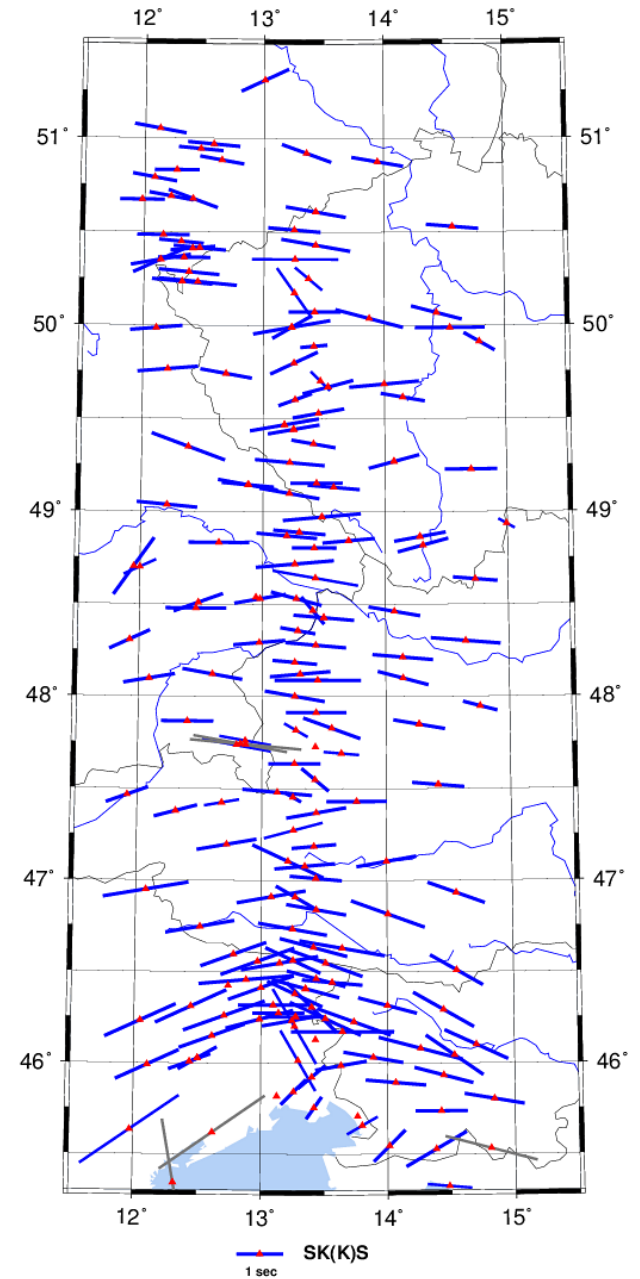
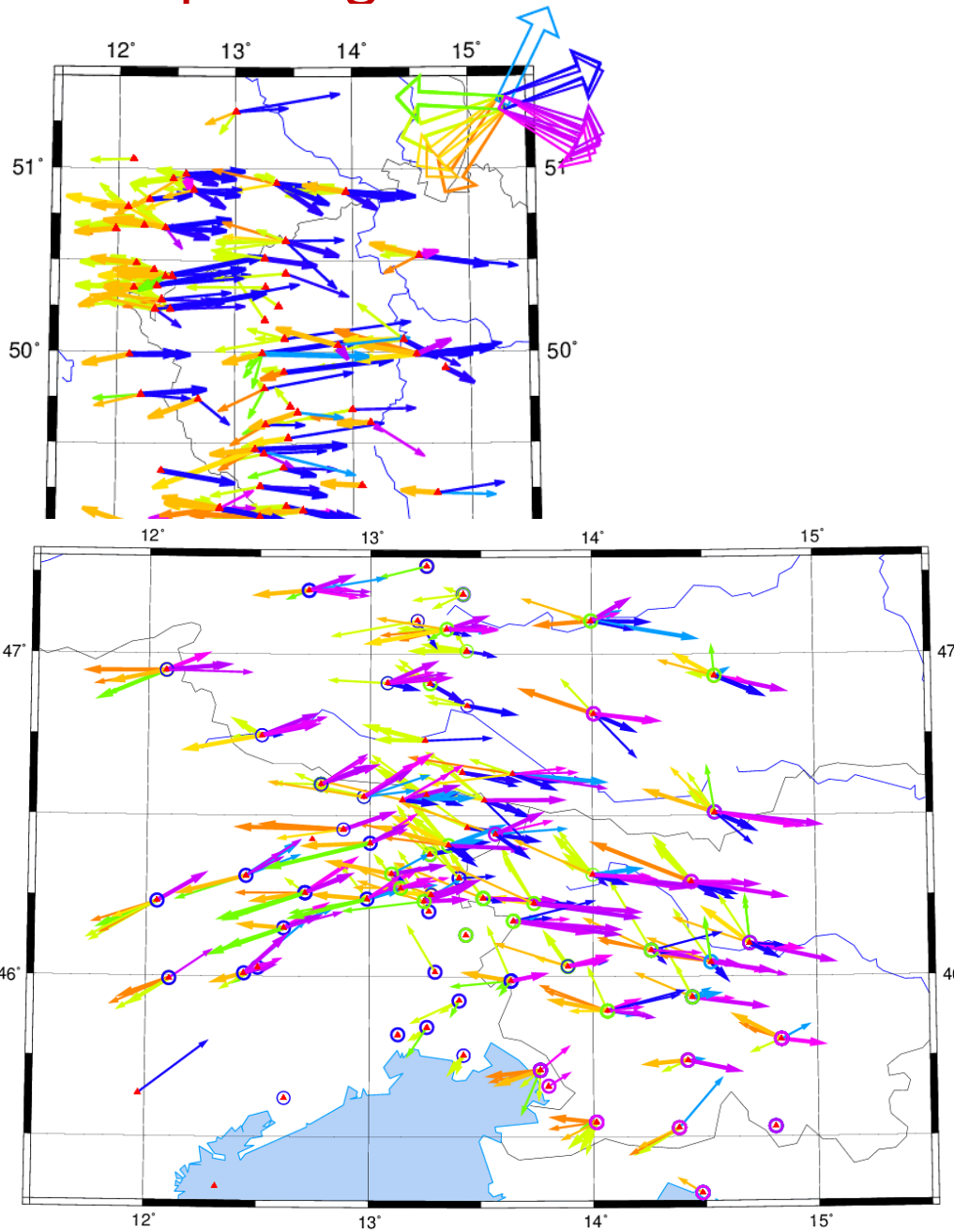
Sensor mis-orientations

by the improved Rayleigh wave method

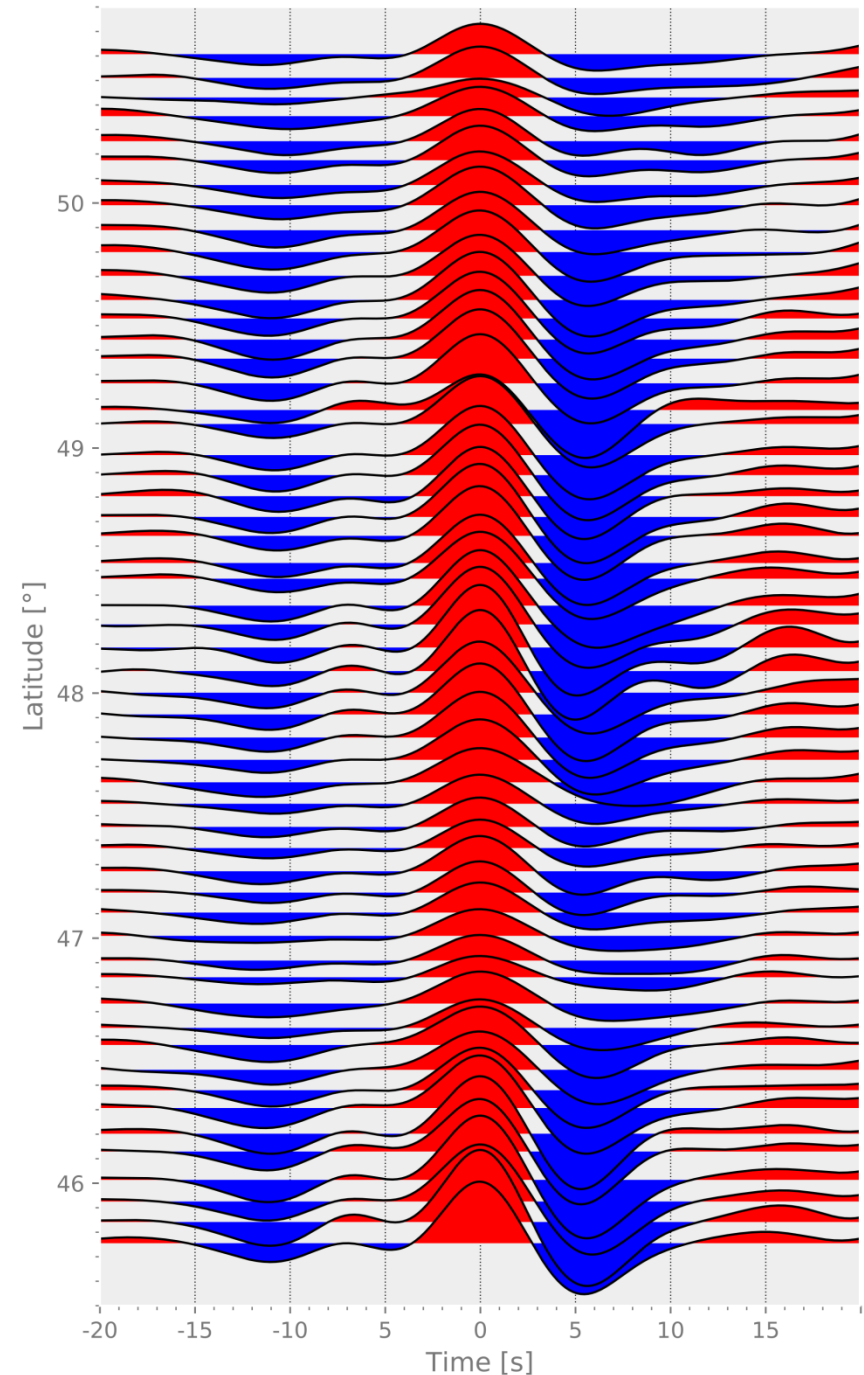
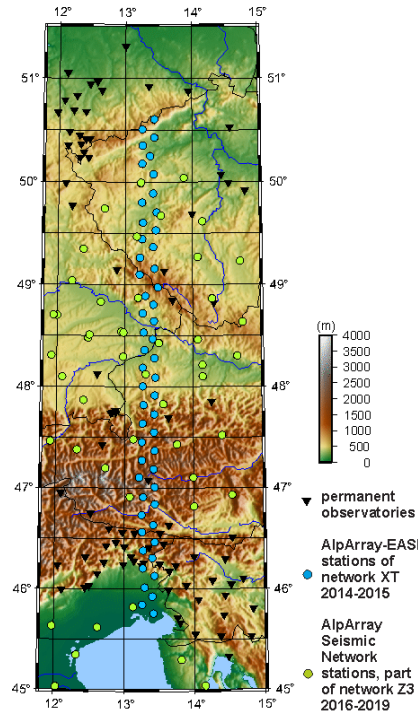


Mis-orientations colored from **blue** (-40°) to **red** ($+40^\circ$), larger deviations are in **black**. Stations with their mis-orientations exceeding 30° are named. Triangles mark permanent stations, circles temporary ones.

SKS splitting corrected for sensor mis-orientations

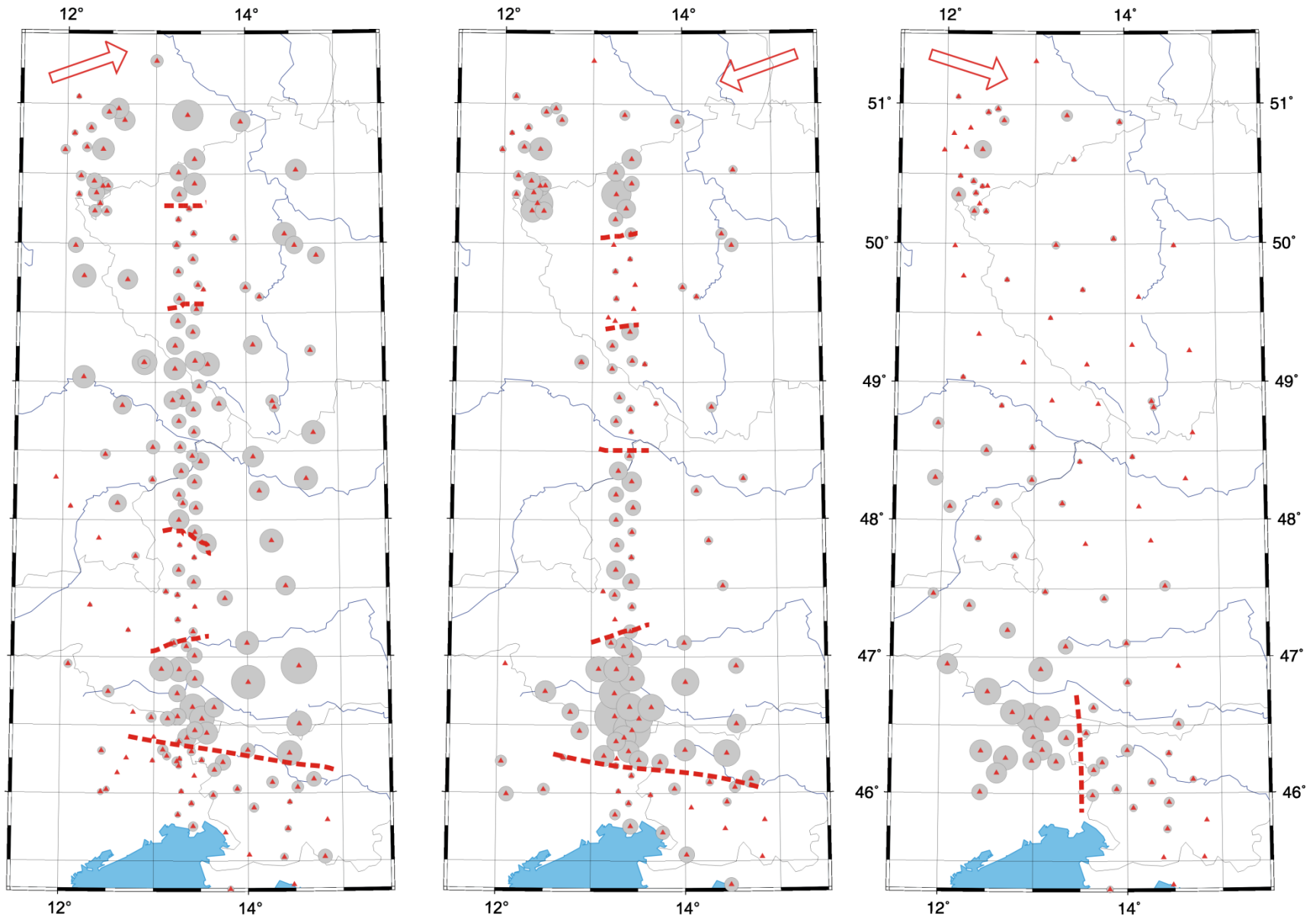


SKS waveform (Q component) for one event:



SKS wave on Q component centered around a main peak. Displacement signals corrected for a seismometer response and filtered by 7-30 s bandpass Butterworth filter.

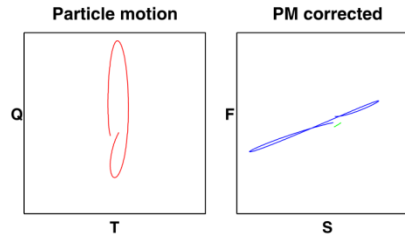
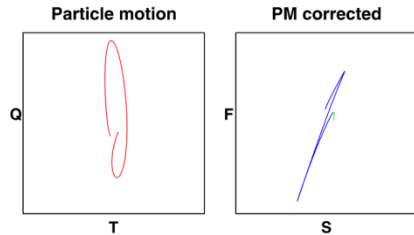
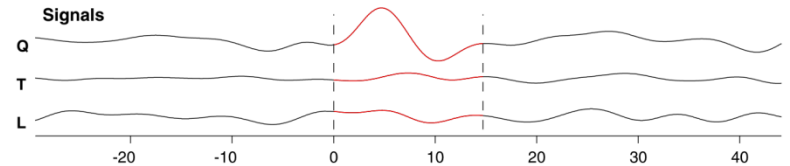
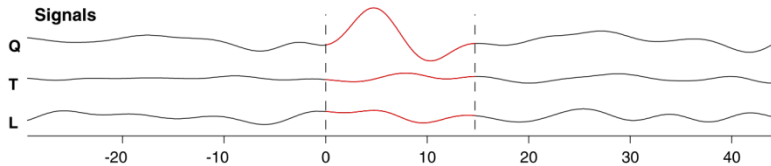
Metrics invariant to a rotation of horizontal components: Particle motion thickness



Dynamic versus theoretical azimuth

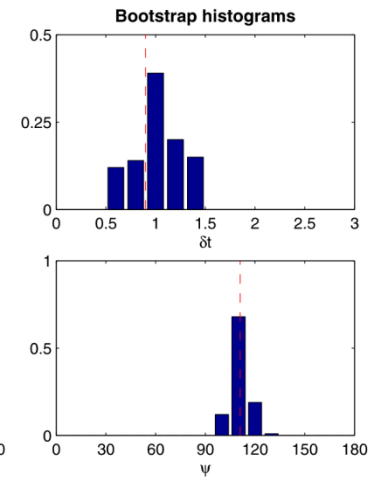
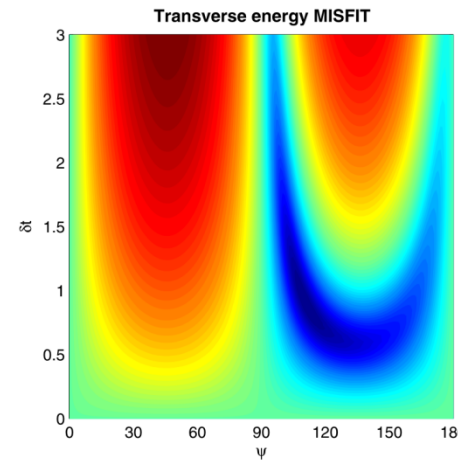
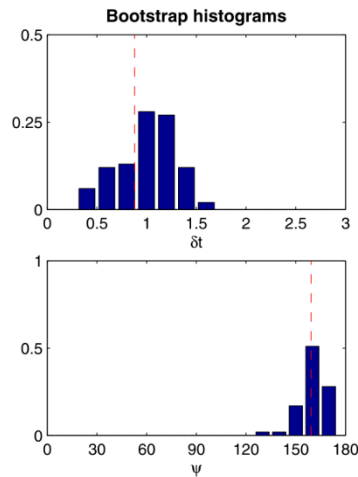
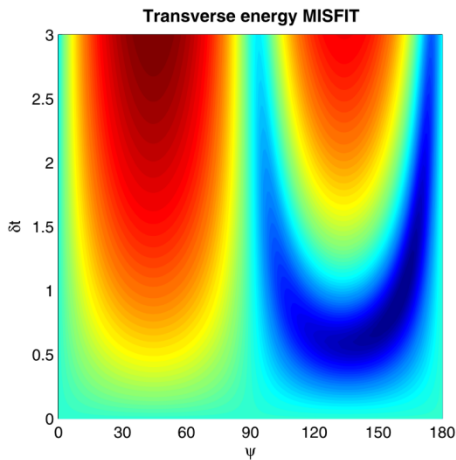
THEORETICAL BAZ 71.3°

DYNAMIC BAZ 75.1°



AAE36 SKS
FAST POLAR. $\theta = 83^\circ, \phi = 272^\circ$
DELAY TIME $\delta t = 0.9$ s
QUALITY poor

AAE36 SKS
FAST POLAR. $\theta = 87^\circ, \phi = 324^\circ$
DELAY TIME $\delta t = 0.9$ s
QUALITY poor



Fast S azimuth 272°

Fast S azimuth 324°

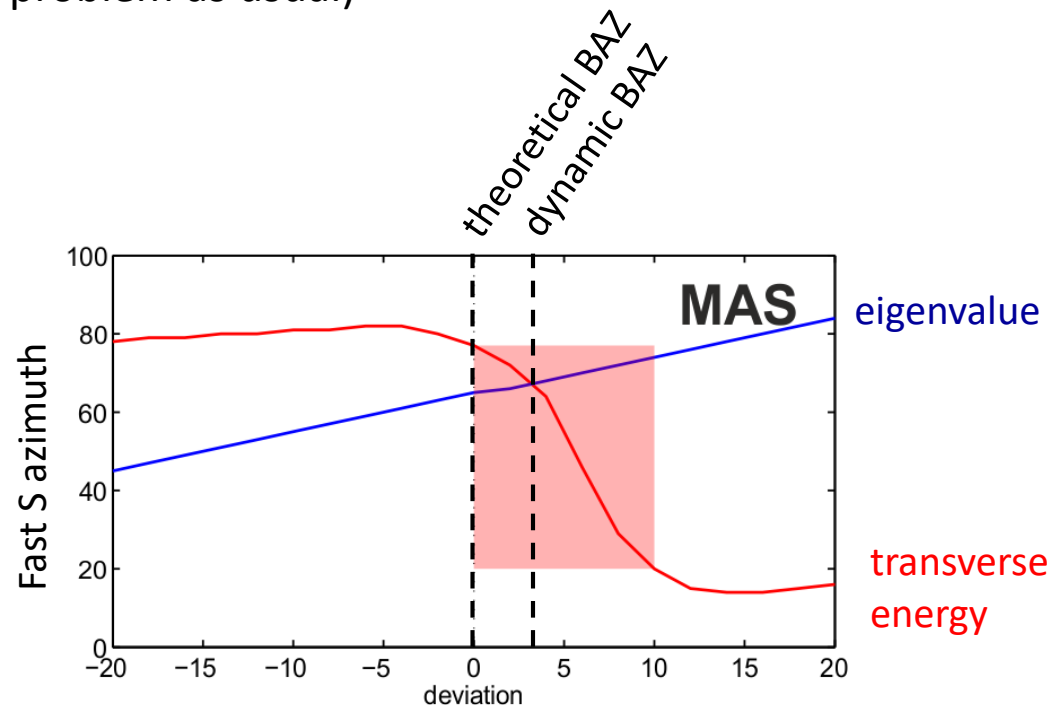
Dynamic back-azimuth

...first, do corrections for mis-orientations!

How to measure:

- if PM is linear – PM should be polarized directly in Q direction
- if PM is elliptical (and wide) – by comparison of eigenvalue and transverse energy methods
- (if PM is elliptical but narrow – problem as usual)

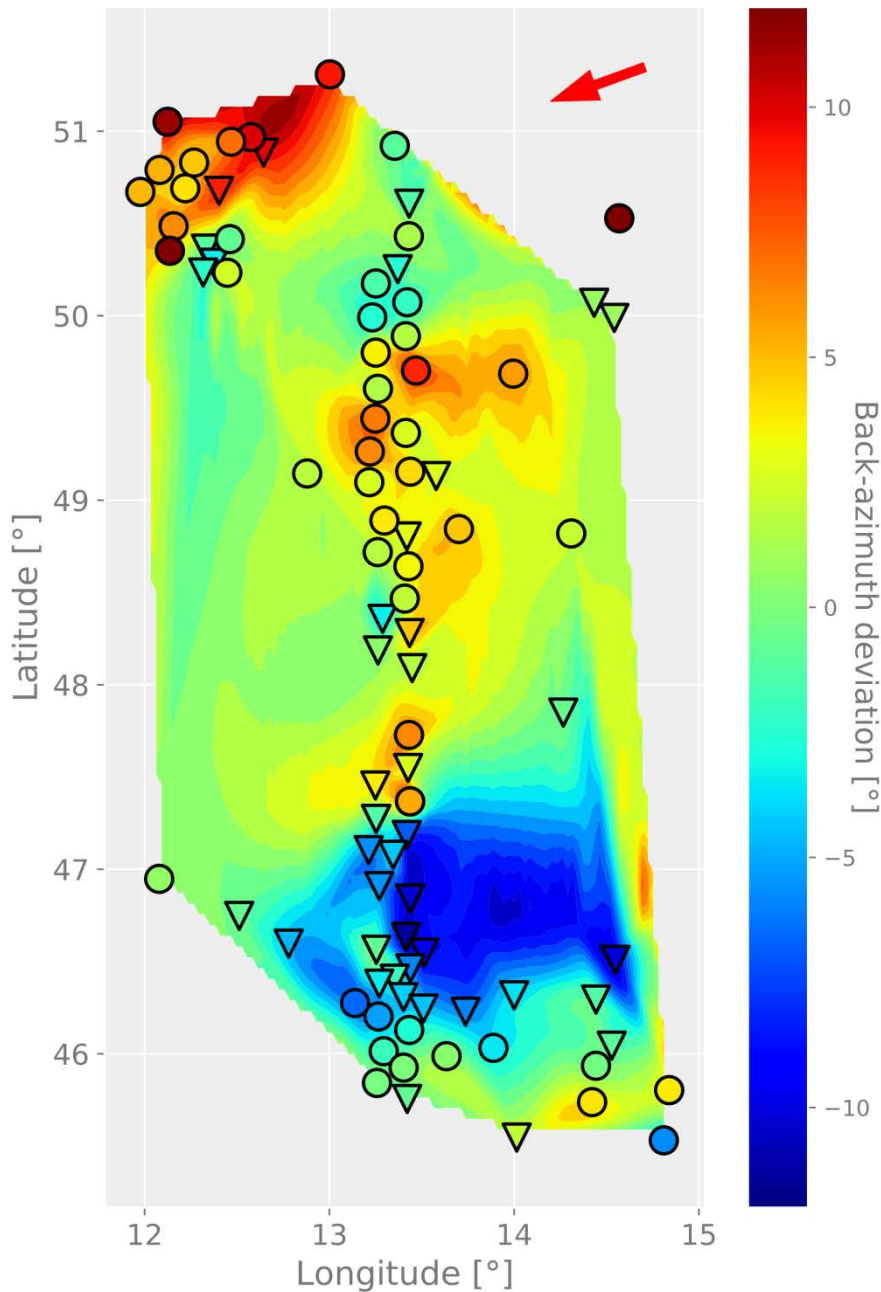
Comparison of the eigenvalue and transverse energy methods



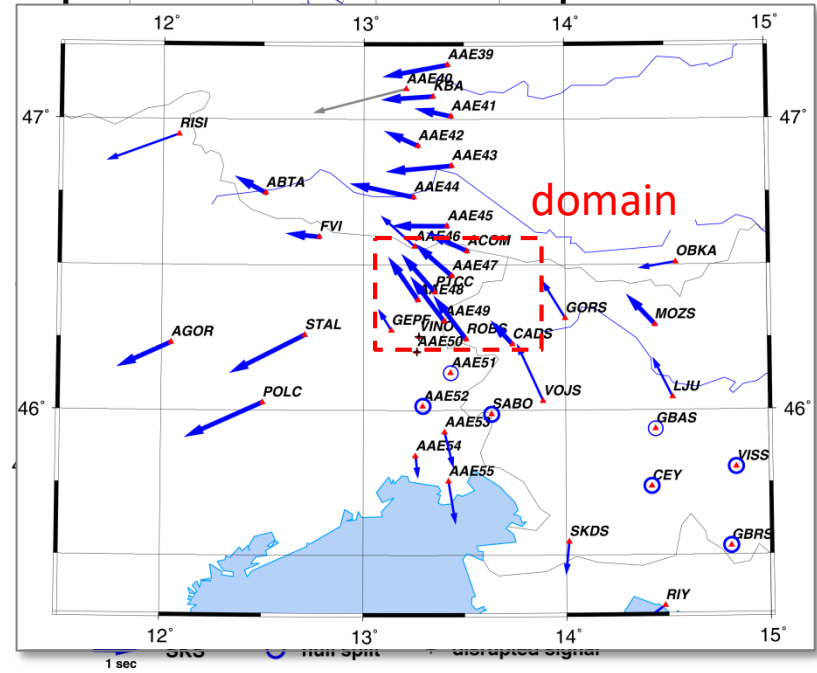
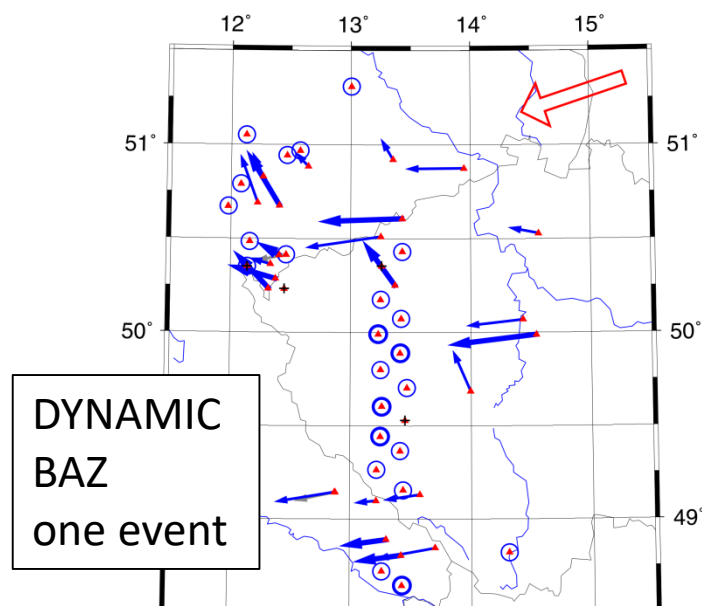
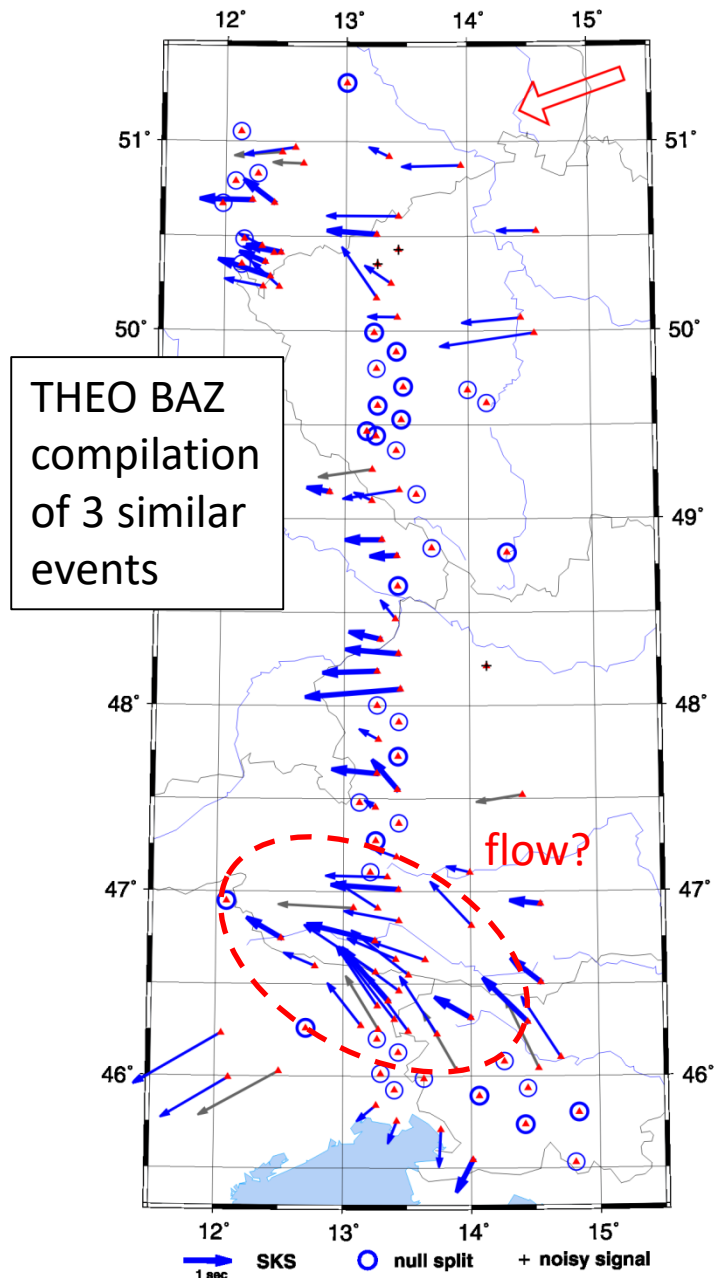
Differences between dynamic and theoretical back-azimuths

EVENT 2015-06-04T23:15:43.90
lat: 5.99° long: 116.54° depth: 10.0 km
magn: 6.0 BORNEO

- ▽ from an elliptical PM
- from a linear PM



SKS splitting corrected for dynamic back-azimuths



Conclusions

To obtain larger number of good quality XKS splittings, we prepared an **automatic waveform selector for core-mantle refracted shear waves**. The procedure includes a signal pre-processing, detection and qualification of near seismic phases and a careful wave selection itself with respect to edges and rapid changes in a wave's particle motion (PM).

We demonstrate that methods used for shear-wave splitting evaluation are sensitive to noise in signals and can be **extremely sensitive to incorrect sensor orientations and to differences between dynamic and theoretical back-azimuths**.

We **improved a method for determination of sensor orientations** from Rayleigh-wave polarizations. Automatic procedures were tested on data from 187 permanent and temporary stations located in the area of the AlpArray project. Accuracy of the method attains 1° , in case of sufficient number of Rayleigh waves and up to about 2° is comparable with the gyrocompass measurements.

PM of shear-wave allows to follow regional variations of mantle structure even for waves with weak signals on the T component (narrow PM). Moreover, it is **invariant** to sensor mis-orientations and effects connected with dynamic back-azimuths.

Corrections for sensor mis-orientations and differences between dynamic and theoretical back-azimuths enhance **resolution** of evaluated splitting parameters.

Distinct regional variations along the AlpArray-EASI line imply **complex domain-like architecture** of the south-central part of the European plate.